

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Tetsuo TANIGUCHI et al.

Date: February 4, 1999

Serial No.: 09/228,562

Group: Art Unit: 2747

Filed: January 12, 1999

Examiner: Unknown

For: INPUT-OUTPUT BALANCED FILTER

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants respectfully request that the Assistant Commissioner issues a new, Corrected Filing Receipt in view of the error contained in the Official Filing Receipt. A copy of the Official Filing Receipt is attached hereto.

Please add both inventors' residences which are listed as - Tetsuo, TANIGUCHI, **FUKUI-KEN, JAPAN** and Naoki, MIZOGUCHI, **FUKUI-KEN, JAPAN** - as listed on the Declaration enclosed herewith.

Applicants respectfully request that the Assistant Commissioner issues a new Filing Receipt which lists the inventors' residences as set forth on the Declaration.

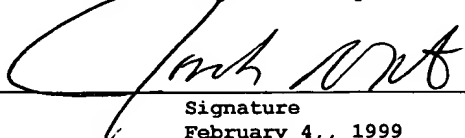
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service to Addressee as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on February 4, 1999

Respectfully submitted,

Joseph R. Keating

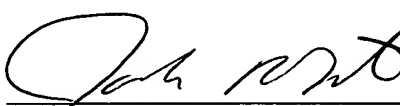
Name of Registered Representative



Signature

February 4, 1999

Date of Signature



Joseph R. Keating

Registration No. 37,368

Graham & James, LLP

885 Third Avenue, 21<sup>st</sup> Floor

New York, New York 10022

Telephone: (212) 848-1000



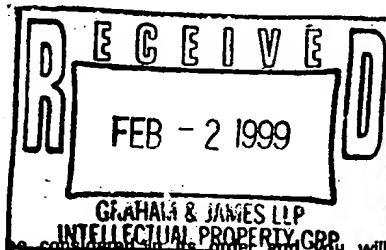
FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/228,562	01/12/99	2747	\$778.00	36856.166	7	21	3

GRAHAM & JAMES  
885 THIRD AVE  
21ST FLOOR  
NEW YORK NY 10022-4834



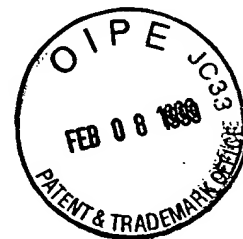
Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its filed form and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

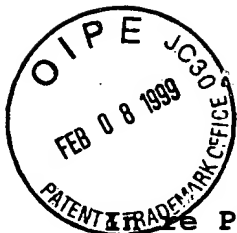
Applicant(s) TETSUO TANIGUCHI; NAOKI MIZOGUCHI.

FOREIGN APPLICATIONS- JAPAN 10-005942 01/14/98

FOREIGN FILING LICENSE GRANTED 01/27/99  
TITLE  
INPUT-OUTPUT BALANCED FILTER

PRELIMINARY CLASS: 379





Sector 1/13

Attorney Docket No.: 36856.166

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Patent Application of

Tetsuo TANIGUCHI et al.

Date: February 4, 1999

Serial No.: 09/228,562

Group Art Unit: 2747

Filed: January 12, 1999

Examiner: Unknown

For: INPUT-OUTPUT BALANCED FILTER

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Attention: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION - FILING DATE GRANTED**

Sir:

In response to the Notice of File Missing Parts of Application - Filing Date Granted, mailed January 28, 1999, submitted herewith is a copy of the Notice to File Missing Parts of Application - Filing Date Granted, the Inventor Declaration and our Check No. 9121 which includes the amount of \$130.00 in payment of the surcharge.

In the event the actual fee is greater than the payment submitted or is inadvertently not enclosed or if any additional fee during the prosecution of this application is not paid, the Patent Office is authorized to charge the underpayment to Deposit Account 07-1855.

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence deposited with the United States Postal Service to Addressee as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231, on February 4, 1999:

Respectfully submitted,

Joseph R. Keating

\_\_\_\_\_  
Name of Registered Representative

\_\_\_\_\_  
Signature  
February 4, 1999

\_\_\_\_\_  
Date of Signature

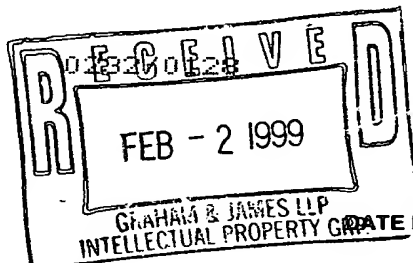
\_\_\_\_\_  
Joseph R. Keating  
Registration No. 37,368  
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885 Third Avenue, 24<sup>th</sup> Floor  
New York, New York 10022  
Telephone: (212) 848-1000



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/228,562	01/12/99	TANIGUCHI	T 36856.166

GRAHAM & JAMES  
885 THIRD AVE  
21ST FLOOR  
NEW YORK NY 10022-4834



NOT ASSIGNED

2747

01/28/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

2 mos. Resp. Due 3/28/99  
LC/PLM

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$\_\_\_\_\_.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$\_\_\_\_\_, including any multiple dependent claim fees, are required.

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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01 FC:105